## Baxter's quantum number in the XY model

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# Baxter's quantum number in the $X Y$ model 

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#### Abstract

In the particular case of the asymmetric $X Y$ model an explicit form for the operator corresponding to Baxter's quantum number $n$ is obtained. It is shown that this operator is closely associated with a transformation of spin operators which converts the asymmetric $X Y$ hamiltonian into a symmetric $X Y$ hamiltonian with alternating nearest-neighbour interactions.


## 1. Introduction

In its simplest form the $X Y$ model in one dimension consists of a chain of spins one-half interacting by nearest-neighbour forces described by the simple hamiltonian

$$
\begin{equation*}
\mathscr{H}_{X Y}=-\frac{1}{4} \sum_{j=1}^{N}\left[(1+k) \sigma_{j}^{x} \sigma_{j+1}^{x}+(1-k) \sigma_{j}^{y} \sigma_{j+1}^{y}\right], \tag{1.1}
\end{equation*}
$$

where the $\sigma_{j}$ are Pauli matrices with periodic boundary conditions $\sigma_{j+N}=\sigma_{j}$. This model has a well known algebraic solution (Lieb et al 1961, Katsura 1962) in terms of fermion quasi-particle operators. The model happily arises also as one of the simplest limiting cases (Jones 1973) of Baxter's solution of the general XYZ model (Baxter 1972, 1973a, b, c). The solution due to Baxter is characterized by a new integer-valued quantum number which is different from the total fermion number in the usual solution of this model. In our previous study of the model we achieved an algebraic form of Baxter's solution but were unable to find the operator corresponding to his new quantum number. In the present work we will show that by a slightly different choice of the Baxter basis states we can explicitly write down this operator. Further, we find a spin transformation which, apart from end effects, turns the asymmetric $X Y$ model into a symmetric $X Y$ model in which the nearest-neighbour interaction strength alternates along the chain of spins.

Thus in $\S 2$ we describe the change in the basis states of our earlier work and construct the Baxter 'spin' operator $R_{z}$. In § 3 we define a transformation from $\sigma$-spin to $\rho$-spin and re-express both $\mathscr{H}_{X Y}$ and $R_{z}$. In $\S 4$ we briefly indicate the connection with the familiar solution in terms of fermion quasi-particles. Throughout we will use notation borrowed either from Baxter's work or from our earlier work on the $X Y$ model and the reader is referred there for fuller explanation.

## 2. The Baxter quantum number

Baxter's diagonalization of the eight-vertex model transfer matrix (Baxter 1973a, b, c)
utilizes remarkable families of vectors characterized by a number $n$ of down 'spins' where Baxter's 'spins' are defined as being either 'up' or 'down' with respect to an axis that rotates from site to site along the spin chain. Normalized spinors on each site may be constructed in terms of a function $p(l, s)$ where $l$ is an integer that varies from site to site and $s$ is a free parameter. In our earlier study of the $X Y$ model (Jones 1973), we used a form of $p(l, s)$ which had the advantage of giving real basis spinors which were linearly independent in the regime defined by $0<k \leqslant 1$ but the disadvantage that when $k=0$ the basis states used ceased to be linearly independent. If we modify the choice of $p(l, s)$ so that the basis spinors are no longer real, we can then obtain an orthonormal basis for the $X Y$ model which remains orthonormal at $k=0$ and there coincides with the states of the $X X Z$ model aiso studied earlier (Jones 1974).

Thus our new choice for $p(l, s)$ is

$$
\begin{equation*}
p(l, s)=\sqrt{k} \mathrm{sn}\left(s+K+2 l \eta-\frac{1}{2} \mathrm{i} K^{\prime}\right) \tag{2.1a}
\end{equation*}
$$

where sn in the Jacobi elliptic function of modulus $k, K$ and $K^{\prime}$ are the complete elliptic integrals of the first kind of modulus $k$ and complementary modulus $k^{\prime}=\left(1-k^{2}\right)^{1 / 2}$ respectively, $l$ is an integer, $s$ is a real parameter, and $\eta$ is of the form (Baxter 1973a)

$$
\begin{equation*}
\eta=\frac{2 m_{1}}{L} K \tag{2.1b}
\end{equation*}
$$

with $m_{1}, L$ integers. After expressing sn in terms of jacobian theta functions, it is straightforward to show that

$$
\begin{equation*}
\lim _{k \rightarrow 0} p(l, s)=\exp [i(s+2 l \eta)], \tag{2.2}
\end{equation*}
$$

where $\exp [\mathrm{i}(s+2 l \eta)]$ is the form of $p(l, s)$ appropriate to the $X X Z$ model (Jones 1974). By using the behaviour of sn under translation of its argument by $\mathrm{i} K^{\prime}$ together with its real analyticity and its addition theorem, one may establish that

$$
\begin{align*}
& |p(l, s)|=1  \tag{2.3a}\\
& p(l, s)=\mathrm{e}^{\mathrm{i} \delta(l, s)} \tag{2.3b}
\end{align*}
$$

where

$$
\begin{equation*}
\tan \delta(l, s)=-\frac{\operatorname{cn}(s+K+2 l \eta) \operatorname{dn}(s+K+2 l \eta)}{(1+k) \operatorname{sn}(s+K+2 l \eta)} \tag{2.3c}
\end{equation*}
$$

Since $p(l, s)$ is now a complex number of modulus one, we choose the basic 'up' spinor at site $j$ to be

$$
\begin{equation*}
\phi_{l_{j}, l_{j}+1}=\frac{1}{\sqrt{2}}\binom{p\left(l_{j}, s\right)}{1}, \tag{2.4a}
\end{equation*}
$$

and the 'down' spinor to be

$$
\begin{equation*}
\phi_{l_{j}, l_{j}-1}=\frac{1}{\sqrt{2}}\binom{1}{-p^{*}\left(l_{j}, s\right)} . \tag{2.4b}
\end{equation*}
$$

We specialize to the $X Y$ model by taking $L=4, m_{1}=1$ in (2.1b) to give $2 \eta=K$. With this value of $\eta$ one may easily show that

$$
\begin{equation*}
\tan \delta(l, s) \tan \delta(l+1, s)=-\left(\frac{1-k}{1+k}\right) \tag{2.5a}
\end{equation*}
$$

and

$$
\begin{equation*}
\delta(l+2, s)=\delta(l, s)+\pi . \tag{2.5b}
\end{equation*}
$$

For most values of $s, \delta(l, s)$ depends on the magnitude of the asymmetry parameter $k$. However, if $s$ is an integer multiple of $K$, then the phase $\delta$ becomes independent of $k$. Thus later we will set $s=0$ to simplify our manipulations, for with $s=0$ we find the simple result

$$
\begin{equation*}
\delta(l, 0)=\frac{1}{2} l \pi \tag{2.5c}
\end{equation*}
$$

valid for all values of $k$. This does not contradict the relation (2.5a) if the left-hand side of that equation is treated carefully in taking the limit $s \rightarrow 0$.

If we now form suitable direct products of the spinors ( $2.4 a, b$ ), we obtain the Baxter basis states

$$
\begin{equation*}
\psi\left(l_{1}, l_{2}, \ldots, l_{N}, l_{N+1}\right)=\phi_{l_{1}, l_{2}} \otimes \phi_{l_{2}, l_{3}} \otimes \ldots \otimes \phi_{l_{N}, l_{N+1}} \tag{2.6a}
\end{equation*}
$$

where the integers $l_{j}$ are constrained by

$$
\begin{align*}
& l_{j+1}=l_{j} \pm 1  \tag{2.6b}\\
& l_{N+1} \equiv l_{1}(\bmod L) \tag{2.6c}
\end{align*}
$$

These states may also be written

$$
\begin{equation*}
\psi\left(l_{1}, l_{2}, \ldots, l_{N}, l_{N+1}\right)=\psi\left(l ; x_{1}, \ldots, x_{n}\right) \tag{2.6d}
\end{equation*}
$$

where $l=l$, and $x_{1}<x_{2}<\ldots<x_{n}$ label spin sites along the chain at which a 'down' spinor occurs in the product defining $\psi\left(l ; x_{1}, \ldots, x_{n}\right)$. For the $X Y$ model $(L=4)$, $\psi\left(l+4 ; x_{1}, \ldots, x_{n}\right)=\psi\left(l ; x_{1}, \ldots, x_{n}\right)$ giving basis states for $l=1,2,3,4$. The number $n$ of 'down' spinors is constrained by

$$
\begin{equation*}
n \equiv \frac{1}{2} N\left(\bmod \frac{1}{2} L\right) \tag{2.7}
\end{equation*}
$$

where we are now assuming the total number of spin sites $N$ to be even. Thus in the $X Y$ model $(L=4) n$ takes either even or odd integer values depending upon whether $N$ is divisible by four or only by two. As shown earlier (Jones 1973) a complete set of states is obtained by taking $\psi\left(l ; x_{1}, \ldots, x_{n}\right)$ and $\psi\left(l+2 ; x_{1}, \ldots, x_{n}\right)$ for all allowed values of $n$ and all choices of $x_{1}<x_{2}<\ldots<x_{n}$. These states are normalized so that

$$
\begin{equation*}
\left(\psi\left(l ; x_{1}, \ldots, x_{n}\right), \psi\left(l ; y_{1}, \ldots, y_{m}\right)\right)=\delta_{n m} \delta_{x_{1}, y_{1}} \delta_{x_{2}, y_{2}} \ldots \delta_{x_{n}, y_{n}}, \tag{2.8a}
\end{equation*}
$$

and

$$
\begin{equation*}
\left(\psi\left(l ; x_{1}, \ldots, x_{n}\right), \psi\left(l+2 ; y_{1}, \ldots, y_{m}\right)\right)=0 \tag{2.8b}
\end{equation*}
$$

In our earlier study using real basis vectors the scalar product ( $2.8 b$ ) did not vanish in all instances. With our new choice of $p(l, s)$ the scalar products $(2.8 a, b)$ are independent of $k$ and we thus obtain a complete orthonormal basis for all values of $k$.

Let us specialize even further by using as a basis the states $\psi\left(l ; x_{1}, \ldots, x_{n}\right)$ in which $l$ is an odd integer $(l=1,3)$. With this choice and noting the constraints $(2.6 b, c)$ we observe that

$$
\begin{equation*}
l_{j} \equiv j(\bmod 2), \tag{2.9a}
\end{equation*}
$$

and hence

$$
\begin{equation*}
p\left(l_{j}, s\right)= \pm p(j, s) \tag{2.9b}
\end{equation*}
$$

where the upper sign holds if $l_{j} \equiv j(\bmod 4)$ and the lower sign if $l_{j} \not \equiv j(\bmod 4)$. Keeping this observation in mind, define an operator on the $j$ th site by

$$
\begin{align*}
& u_{j}(s)=\cos \delta(j, s) \sigma_{j}^{x}-\sin \delta(j, s) \sigma_{j}^{y}, \\
& u_{j}(s)=\left(\begin{array}{cc}
0 & \mathrm{e}^{\mathrm{i} \delta(j, s)} \\
\mathrm{e}^{-\mathrm{i} \delta(j, s)} & 0
\end{array}\right)=\left(\begin{array}{cc}
0 & p(j, s) \\
p^{*}(j, s) & 0
\end{array}\right) . \tag{2.10}
\end{align*}
$$

Using (2.4), (2.9b) and (2.10) one sees that

$$
\begin{align*}
& u_{j}(s) \phi_{l_{j}, l_{j}+1}= \pm \phi_{l_{j}, l_{j}+1}  \tag{2.11}\\
& u_{j}(s) \phi_{l_{j}, l_{j}-1}=\mp \phi_{l_{j}, l_{j}-1}
\end{align*}
$$

where again the upper sign in $(2.11)$ is to be taken if $l_{j} \equiv j(\bmod 4)$ and the lower sign otherwise. Finally, from (2.11) we can compute that

$$
\begin{equation*}
u_{j}(s) u_{j+1}(s) \phi_{l_{j}, l_{j+1}} \otimes \phi_{l_{j+1}, l_{j+2}}= \pm \phi_{l_{j}, l_{j+1}} \otimes \phi_{l_{j+1}, l_{j+2}} \tag{2.12a}
\end{equation*}
$$

for $j=1, \ldots, N-1$ and also that

$$
\begin{equation*}
u_{N}(s) u_{N+1}(s) \phi_{l_{N}, l_{N+1}} \otimes \phi_{l_{1}, l_{2}}= \pm \phi_{l_{N}, l_{N+1}} \otimes \phi_{l_{1}, l_{2}} \tag{2.12b}
\end{equation*}
$$

where we take the $+(-)$ sign if $\phi_{l_{j+1}, l_{j+2}}$ or $\phi_{l_{1}, l_{2}}$ is an 'up' ('down') spinor. If we define

$$
\begin{equation*}
R_{z}(s)=\frac{1}{2} \sum_{j=1}^{N} u_{j}(s) u_{j+1}(s), \tag{2.13a}
\end{equation*}
$$

we have that for odd integer values of $l$,

$$
\begin{equation*}
R_{z}(s) \psi\left(l ; x_{1}, \ldots, x_{n}\right)=\left(\frac{1}{2} N-n\right) \psi\left(l ; x_{1}, \ldots, x_{n}\right) . \tag{2.13b}
\end{equation*}
$$

It is tedious but straightforward to check that $R_{z}(s)$ commutes with $\mathscr{H}_{X Y}$ for any value of the parameter $s$. The method of proof is to show that there is an operator $O_{j}(s)$ such that

$$
\begin{equation*}
\left[\mathscr{H}_{X Y}, u_{j}(s) u_{j+1}(s)\right]=O_{j+1}(s)-O_{j}(s), \tag{2.14}
\end{equation*}
$$

from which one obtains at once

$$
\left[\mathscr{H}_{X Y}, R_{z}(s)\right]=\sum_{j=1}^{N}\left(O_{j+1}(s)-O_{j}(s)\right)=0,
$$

since $O_{N+1}(s)=O_{1}(s)$. In view of the result ( $2.5 c$ ) a very simple form of the operator $R_{z}(s)$ arises if we set the parameter $s$ equal to zero,

$$
\begin{equation*}
R_{z}=R_{z}(0)=\frac{1}{2}\left(\sigma_{1}^{y} \sigma_{2}^{x}-\sigma_{2}^{x} \sigma_{3}^{y}+\sigma_{3}^{y} \sigma_{4}^{x}-\sigma_{4}^{x} \sigma_{5}^{y}+\ldots-\sigma_{N}^{x} \sigma_{1}^{y}\right) . \tag{2.15}
\end{equation*}
$$

## 3. $\sigma-\rho$ spin transformation

In this section we use the $\sigma_{j}$ spin operators to construct a new set of spin operators $\rho_{j}$. This transformation, apart from end effects, changes the asymmetric $X Y$ hamiltonian in terms of $\sigma$ spin into a symmetric but alternating $X Y$ hamiltonian in terms of $\rho$ spin. At the same time the operator $R_{z}$ above appears as essentially the total $z$ component of $\rho$ spin.

We may define a set of independent spin operators $\rho_{j}, j=1,2, \ldots, N$, which satisfy the same algebra as do Pauli matrices by the following equations:

$$
\begin{align*}
& \rho_{1}^{x}=\sigma_{1}^{z} \sigma_{2}^{x}, \\
& \rho_{1}^{y}=\sigma_{1}^{x},  \tag{3.1a}\\
& \rho_{1}^{z}=\sigma_{1}^{y} \sigma_{2}^{x},
\end{align*}
$$

for $j$ odd, $3 \leqslant j \leqslant N-1$,

$$
\begin{align*}
& \rho_{j}^{x}=-(-1)^{(j-1) / 2} \sigma_{1}^{x} \sigma_{2}^{z} \sigma_{3}^{z} \ldots \sigma_{j-1}^{z} \sigma_{j}^{x} \sigma_{j+1}^{x}, \\
& \rho_{j}^{y}=(-1)^{(j-1) / 2} \sigma_{1}^{x} \sigma_{2}^{z} \sigma_{3}^{z} \ldots \sigma_{j}^{z},  \tag{3.1b}\\
& \rho_{j}^{z}=\sigma_{j}^{y} \sigma_{j+1}^{x},
\end{align*}
$$

for $j$ even, $2 \leqslant j<N$,

$$
\begin{align*}
& \rho_{j}^{x}=(-1)^{(j-2) / 2} \sigma_{1}^{x} \sigma_{2}^{z} \sigma_{3}^{z} \ldots \sigma_{j}^{z}, \\
& \rho_{j}^{y}=(-1)^{(j-2) / 2} \sigma_{1}^{x} \sigma_{2}^{z} \sigma_{3}^{z} \ldots \sigma_{j-1}^{z} \sigma_{j}^{y} \sigma_{j+1}^{y},  \tag{3.1c}\\
& \rho_{j}^{2}=-\sigma_{j}^{x} \sigma_{j+1}^{y},
\end{align*}
$$

and finally

$$
\begin{align*}
& \rho_{N}^{x}=\mathrm{i}(-1)^{N / 2} \sigma_{1}^{y} U, \\
& \rho_{N}^{y}=\sigma_{N}^{x}  \tag{3.1d}\\
& \rho_{N}^{z}=-(-1)^{N / 2} \sigma_{N}^{x} \sigma_{1}^{y} U,
\end{align*}
$$

where the operator $U$ is defined by

$$
\begin{equation*}
U=\sigma_{1}^{z} \sigma_{2}^{z} \ldots \sigma_{N}^{z} \tag{3.2a}
\end{equation*}
$$

One may check from the definition of the $\rho_{j}^{z}$ that we also have

$$
\begin{equation*}
U=\rho_{1}^{z} \rho_{2}^{z} \ldots \rho_{N}^{z} \tag{3.2b}
\end{equation*}
$$

The inverse transformation is given by

$$
\begin{align*}
& \sigma_{1}^{x}=\rho_{1}^{y} \\
& \sigma_{1}^{y}=-\mathrm{i}(-1)^{N / 2} \rho_{N}^{x} U,  \tag{3.3a}\\
& \sigma_{1}^{z}=-(-1)^{N / 2} \rho_{N}^{x} \rho_{1}^{y} U,
\end{align*}
$$

for $j$ odd, $3 \leqslant j \leqslant N-1$,

$$
\begin{align*}
& \sigma_{j}^{x}=(-1)^{(N-j-1) / 2} \rho_{j-1}^{x} \rho_{j}^{x} \rho_{j+1}^{z} \rho_{j+2}^{z} \ldots \rho_{N-1}^{z} \rho_{N}^{y}, \\
& \sigma_{j}^{y}=(-1)^{(N-j-1) / 2} \rho_{j}^{z} \rho_{j+1}^{z} \ldots \rho_{N-1}^{z} \rho_{N}^{y},  \tag{3.3b}\\
& \sigma_{j}^{z}=-\rho_{j-1}^{x} \rho_{j}^{y},
\end{align*}
$$

for $j$ even, $2 \leqslant j<N$,

$$
\begin{align*}
& \sigma_{j}^{x}=(-1)^{(N-j) / 2} \rho_{j}^{z} \rho_{j+1}^{z} \ldots \rho_{N-1}^{z} \rho_{N}^{y}, \\
& \sigma_{j}^{y}=-(-1)^{(N-j) / 2} \rho_{j-1}^{y} \rho_{j}^{y} \rho_{j+1}^{z} \rho_{j+2}^{z} \ldots \rho_{N-1}^{z} \rho_{N}^{y},  \tag{3.3c}\\
& \sigma_{j}^{z}=\rho_{j-1}^{y} \rho_{j}^{x},
\end{align*}
$$

and finally,

$$
\begin{align*}
& \sigma_{N}^{x}=\rho_{N}^{y}, \\
& \sigma_{N}^{y}=\rho_{N-1}^{y} \rho_{N}^{z},  \tag{3.3d}\\
& \sigma_{N}^{z}=\rho_{N-1}^{y} \rho_{N}^{x} .
\end{align*}
$$

If we express $\mathscr{H}_{X Y}$ in terms of $\rho$ spin operators we find

$$
\begin{gather*}
\mathscr{H}_{X Y}=-\frac{1}{4} \sum_{j=1}^{N-2}\left(1+(-1)^{j_{k}} k\right)\left(\rho_{j}^{x} \rho_{j+1}^{x}+\rho_{j}^{y} \rho_{j+1}^{y}\right)-\frac{1}{4}(1-k)\left(\rho_{N-1}^{x} \rho_{N}^{x}+(-1)^{N / 2} \rho_{N-1}^{y} \rho_{N}^{y} U\right) \\
\quad-\frac{1}{4}(1+k)\left((-1)^{N / 2} \rho_{N}^{x} \rho_{1}^{x} U+\rho_{N}^{y} \rho_{1}^{y}\right) . \tag{3.4}
\end{gather*}
$$

In addition $R_{z}$ becomes simply

$$
\begin{equation*}
R_{z}=\frac{1}{2} \sum_{j=1}^{N-1} \rho_{j}^{z}+\frac{1}{2}(-1)^{N / 2} \rho_{N}^{z} U \tag{3.5}
\end{equation*}
$$

Using (3.4) and (3.5) it is simple to check again that $\left[\mathscr{H}_{X Y}, R_{z}\right]=0$.

## 4. Alternating $X Y$ model

The one-dimensional alternating Heisenberg chain has been studied in some detail before (Abraham 1969, Brooks Harris 1973). For the even simpler alternating $X Y$ model we may diagonalize the hamiltonian explicitly in terms of free fermion quasi-particle operators. Let us briefly sketch this diagonalization in order to indicate how it compares with the fermion quasi-particle diagonalization of the original asymmetric $X Y$ hamiltonian in terms of $\sigma$ spin operators. Defining the projection operators

$$
\begin{equation*}
P_{ \pm}=\frac{1}{2}(1 \pm U) \tag{4.1}
\end{equation*}
$$

we may write

$$
\begin{equation*}
\mathscr{H}_{X Y}=\mathscr{H}_{X Y}^{+} P_{+}+\mathscr{H}_{X Y}^{-} P_{-} . \tag{4.2}
\end{equation*}
$$

Let us assume $N$ is divisible by four so that $(-1)^{N / 2}=1$, and then let us look in detail at $\mathscr{H}_{X Y}^{+}$where no end effects complicate the diagonalization. In these circumstances we have

$$
\begin{equation*}
\mathscr{H}_{X Y}^{+}=-\frac{1}{4} \sum_{j=1}^{N}\left(1+(-1)^{j} k\right)\left(\rho_{j}^{x} \rho_{j+1}^{x}+\rho_{j}^{y} \rho_{j+1}^{y}\right), \tag{4.3}
\end{equation*}
$$

with $\rho_{N+1}=\rho_{1}$. Make a Jordan-Wigner transformation to fermion operators by

$$
\begin{align*}
d_{j}^{\dagger} & =\rho_{1}^{z} \rho_{2}^{z} \ldots \rho_{j-1}^{z} \rho_{j}^{+}  \tag{4.4}\\
d_{j} & =\rho_{1}^{z} \rho_{2}^{z} \ldots \rho_{j-1}^{z} \rho_{j}^{-}
\end{align*}
$$

where $d_{N+1}=-d_{1}$. Introduce the Fourier transform of these operators by

$$
\begin{equation*}
\mu_{q}^{\dagger}=N^{-1 / 2} \sum_{j=1}^{N} \mathrm{e}^{\mathrm{i} q j} d_{j}^{\dagger} \tag{4.5a}
\end{equation*}
$$

where the wavenumbers $q$ satisfy

$$
\begin{equation*}
\mathrm{e}^{\mathrm{iq} N}=-1, \tag{4.5b}
\end{equation*}
$$

or

$$
\begin{equation*}
q= \pm \frac{\pi}{N}, \pm \frac{3 \pi}{N}, \ldots, \pm\left(\frac{N-1}{N}\right) \pi \tag{4.5c}
\end{equation*}
$$

We then obtain

$$
\begin{equation*}
\mathscr{H}_{X Y}^{+}=\sum_{0<q}\left[\cos q\left(\mu_{q}^{\dagger} \mu_{q}-\mu_{q-\pi}^{\dagger} \mu_{q-\pi}\right)-i k \sin q\left(\mu_{q}^{\dagger} \mu_{q-\pi}-\mu_{q-\pi}^{\dagger} \mu_{q}\right)\right] . \tag{4.6}
\end{equation*}
$$

Introduce fermion quasi-particle operators $\zeta_{q}$ by a Bogoliubov-Valatin transformation (Bogoliubov 1958, Valatin 1958) for $q>0$,

$$
\binom{\zeta_{q}^{\dagger}}{\zeta_{q-\pi}^{\dagger}}=\left(\begin{array}{cc}
\cos \frac{1}{2} \gamma_{q} & \mathrm{i} \sin \frac{1}{2} \gamma_{q}  \tag{4.7}\\
i \sin \frac{1}{2} \gamma_{q} & \cos \frac{1}{2} \gamma_{q}
\end{array}\right)\binom{\mu_{q}^{\dagger}}{\mu_{q-\pi}^{\dagger}},
$$

where the angle $\gamma_{q}$ is defined by

$$
\begin{align*}
& \cos \gamma_{q}=\cos q / E_{q}  \tag{4.8a}\\
& \sin \gamma_{q}=k \sin q / E_{q} \tag{4.8b}
\end{align*}
$$

and

$$
\begin{equation*}
E_{q}=\left(\cos ^{2} q+k^{2} \sin ^{2} q\right)^{1 / 2} \tag{4.8c}
\end{equation*}
$$

In the present case we will choose $E_{q}$ to be the positive square root for $|q|<\frac{1}{2} \pi$ and the negative square root for $|q|>\frac{1}{2} \pi$. This choice is made in order that in the limit $k \rightarrow 0$ all results go smoothly to those for the symmetric $X Y$ model. One should note that this choice differs from that in our earlier analysis (Jones 1973). After the transformation (4.7) the hamiltonian takes the diagonal form

$$
\begin{equation*}
\mathscr{H}_{X Y}^{+}=\sum_{q} E_{q} \zeta_{q}^{+} \zeta_{q}, \tag{4.9}
\end{equation*}
$$

where the summation is over the allowed $q$ values $(4.5 c)$.
We may compare this result with the more usual fermion quasi-particle diagonalization of $\mathscr{H}_{X Y}^{+}$in terms of $\sigma$ spin. In that procedure one makes a Jordan-Wigner transformation from the $\sigma$ spin operators to fermion operators $c_{j}$ and their Fourier transforms $\eta_{q}$ with the same wavenumbers $q$ as in (4.5c). Again introduce quasi-particle operators $\zeta_{q}$ by

$$
\binom{\xi_{q}^{\dagger}}{\xi_{-q}}=\left(\begin{array}{cc}
\cos \frac{1}{2} \gamma_{q} & -i \sin \frac{1}{2} \gamma_{q}  \tag{4.10}\\
-\mathrm{i} \sin \frac{1}{2} \gamma_{q} & \cos \frac{1}{2} \gamma_{q}
\end{array}\right)\binom{\eta_{q}^{\dagger}}{\eta_{-q}},
$$

where $q>0$ and the angle $\gamma_{q}$ is the same as in $(4.8 a, b)$. One then finds

$$
\begin{equation*}
\mathscr{H}_{X Y}^{+}=\sum_{q} E_{q} \xi_{q}^{\dagger} \xi_{q} . \tag{4.11}
\end{equation*}
$$

In order to see clearly the link between these two different fermion representations let us recall from our previous work (Jones 1973) that we introduced anticommuting hermitian operators $a_{j}$ and $b_{j}$ which were related to the real and imaginary parts of the $c_{j}$,

$$
\begin{align*}
& \mathrm{i} a_{j}=\mathrm{e}^{-\mathrm{i}(\pi / 2) \mathrm{j}} c_{j}-\mathrm{e}^{\mathrm{i}(\pi / 2) j} c_{j}^{\dagger}, \\
& b_{j}=\mathrm{e}^{-\mathrm{i}(\pi / 2) \mathrm{j}} c_{j}+\mathrm{e}^{\mathrm{i}(\pi / 2) j} c_{j}^{\dagger} . \tag{4.12}
\end{align*}
$$

Using these operators one may check that

$$
\begin{equation*}
c_{j}^{\dagger}=\frac{1}{2} \mathrm{e}^{-\mathrm{i}(\pi / 2) \mathrm{j}}\left(b_{j}-\mathrm{i} a_{j}\right), \tag{4.13a}
\end{equation*}
$$

while

$$
\begin{equation*}
d_{j}^{\dagger}=\frac{1}{2} \mathrm{e}^{-\mathrm{i}(\pi / 2) j}\left(a_{j}-\mathrm{i} b_{j+1}\right) . \tag{4.13b}
\end{equation*}
$$

If one inserts ( $4.13 a, b$ ) in the Fourier transforms and then utilizes the definitions (4.7) and (4.10) of the quasi-particle transformations, one can derive the following connection between the $\zeta_{q}$ and $\xi_{q}$ operators:

$$
\begin{align*}
& \zeta_{q}^{\dagger}=\frac{1}{2}\left[\left(\mathrm{e}^{-\mathrm{i} q}+\mathrm{i}\right) \xi_{q}^{\dagger}+\left(\mathrm{e}^{-\mathrm{i} q}-\mathrm{i}\right) \xi_{\pi-q}\right],  \tag{4.14a}\\
& \xi_{q}^{\dagger}=\frac{1}{2}\left[\left(\mathrm{e}^{\mathrm{iq}}-\mathrm{i}\right) \zeta_{q}^{\dagger}-\left(\mathrm{e}^{\mathrm{i} q}+\mathrm{i}\right) \zeta_{\pi-q}\right] . \tag{4.14b}
\end{align*}
$$

Finally we note that the operator $R_{z}$ may be expressed in terms of these fermion operators by

$$
\begin{equation*}
R_{z}=-\frac{1}{2} N+\sum_{q} \zeta_{q}^{\dagger} \zeta_{q}, \tag{4.15a}
\end{equation*}
$$

and

$$
\begin{equation*}
R_{z}=\sum_{0<q}\left[-\sin q\left(\xi_{q}^{\dagger} \xi_{q}-\xi_{-q}^{\dagger} \xi_{-q}\right)+\frac{1}{2} \mathrm{i} \cos q\left(\xi_{q}^{\dagger} \xi_{\pi-q}^{\dagger}+\xi_{-q}^{\dagger} \xi_{q-\pi}^{\dagger}+\xi_{q} \xi_{\pi-q}+\xi_{-q} \xi_{q-\pi}\right)\right] \tag{4.15b}
\end{equation*}
$$

## 5. Discussion

The $\sigma-\rho$ spin transformation of $\S 3$ is important here because it gives a physical picture of the Baxter quantum number as essentially the total $z$ component of spin in an alternating $X Y$ model. However, this spin transformation may be of interest in connection with other problems. For example, if we apply the $\sigma-\rho$ transformation to the $X Y Z$ hamiltonian,

$$
\begin{equation*}
\mathscr{H}_{X Y Z}=-\frac{1}{4} \sum_{j=1}^{N}\left[(1+\Gamma) \sigma_{j}^{x} \sigma_{j+1}^{x}+(1-\Gamma) \sigma_{j}^{y} \sigma_{j+1}^{y}+\Delta \sigma_{j}^{z} \sigma_{j+1}^{z}\right], \tag{5.1}
\end{equation*}
$$

we obtain

$$
\begin{align*}
\mathscr{H}_{X Y Z}= & \frac{1}{4} \Delta\left(\rho_{2}^{x} \rho_{4}^{x}+\rho_{4}^{x} \rho_{6}^{x}+\ldots+\rho_{N-2}^{x} \rho_{N}^{x}+(-1)^{N / 2} \rho_{N}^{x} \rho_{2}^{x} U\right) \\
& +\frac{1}{4} \Delta\left(\rho_{1}^{y} \rho_{3}^{y}+\rho_{3}^{y} \rho_{5}^{y}+\ldots+\rho_{N-3}^{y} \rho_{N-1}^{y}+(-1)^{N / 2} \rho_{N-1}^{y} \rho_{1}^{y} U\right) \\
& -\frac{1}{4} \sum_{j=1}^{N-2}\left(1+(-1)^{j} \Gamma\right)\left(\rho_{j}^{x} \rho_{j+1}^{x}+\rho_{j}^{y} \rho_{j+1}^{y}\right) \\
& -\frac{1}{4}(1-\Gamma)\left(\rho_{N-1}^{x} \rho_{N}^{x}+(-1)^{N / 2} \rho_{N-1}^{y} \rho_{N}^{y} U\right)-\frac{1}{4}(1+\Gamma)\left((-1)^{N / 2} \rho_{N}^{x} \rho_{1}^{x} U+\rho_{N}^{y} \rho_{1}^{y}\right), \tag{5.2}
\end{align*}
$$

which shows that the $X Y Z \sigma$ spin model is equivalent to a pair of coupled $\rho$ spin Ising chains, one chain defined on the odd lattice sites, the other chain defined on the even lattice sites, and the coupling between them of alternating strength $\left(1+(-1)^{\prime} \Gamma\right)$.

Section 2 raises an interesting question because there we obtain the Baxter operator in $s$ dependent form, $R_{z}(s)$. The $s$ dependence of $R_{z}(s)$, of course, reflects the $s$ dependence of Baxter's basis vectors. In a study of the $X X Z$ model (Jones 1974) we found that the
parameter $s$ there reflected the existence of a conserved quantity different from Baxter's quantum number. We conjectured that this situation might hold in the general $X Y Z$ model. However, in order to test this idea in the $X Y$ model it is not sufficient to know the form of $R_{z}(s)$ alone. Rather, using our altered definition of the basis states (2.1a) one must construct eigenstates $\Psi(s)$ of $\mathscr{H}_{X Y}$ following our earlier method (Jones 1973). Then the question becomes does there exist an operator $V(s)$ such that

$$
\begin{align*}
& \Psi(s)=V(s) \Psi(0)  \tag{5.3}\\
& R_{z}(s)=V(s) R_{z}(0) V^{-1}(s) . \tag{5.4}
\end{align*}
$$

Such an operator $V(s)$ would enable us to define an $s$ dependent $\sigma-\rho$ spin transformation.
The most important problem remaining is of course to find the operator form of the Baxter quantum number for the $X Y Z$ and eight-vertex models. It would be intriguing if such an operator were again associated with a spin transformation converting $\mathscr{H}_{X Y Z}$ into a spin hamiltonian which is partially symmetric but with nearest-neighbour interactions that vary from site to site.

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